

CITY AND COUNTY OF SWANSEA

MINUTES OF THE AUDIT COMMITTEE

HELD AT COMMITTEE ROOM 3A, GUILDHALL, SWANSEA ON
TUESDAY, 14 FEBRUARY 2017 AT 2.00 PM

PRESENT: Councillor L James (Vice Chair) presided

Councillor(s)

C Anderson
L James
R V Smith

Councillor(s)

T J Hennegan
J W Jones
L V Walton

Councillor(s)

P R Hood-Williams
P M Meara
T M White

Officer(s)

| | |
|------------------|---|
| Paul Beynon | Chief Auditor |
| Debbie Smith | Interim Deputy Head of Legal & Democratic Services. |
| Simon Cockings | Group Auditor |
| Jeremy Parkhouse | Democratic Services Officer |

Also Present: -

| | |
|----------------|--------------------|
| Geraint Norman | Wales Audit Office |
| Gareth Lewis | Wales Audit Office |

Apologies for Absence

Councillor(s): R A Clay and C Thomas

61 **DISCLOSURES OF PERSONAL AND PREJUDICIAL INTERESTS.**

In accordance with the Code of Conduct adopted by the City and County of Swansea, no interests were declared.

62 **WALES AUDIT OFFICE REPORT - AUDIT COMMITTEE REVIEW OF PERFORMANCE 2016/17.**

Gareth Lewis and Geraint Norman, Wales Audit Office facilitated a session to allow the Audit Committee to complete a review of its performance during 2016/17.

Members were asked to consider the areas of Committee work they felt had gone well and those they felt could be improved. The session was based around the 7 core functions of an audit committee established by CIPFA. The core principles discussed were: -

- Assurance Statements including the Annual Governance Statement;
- Internal Audit;
- Risk Management;
- Control Environment including value for money and countering fraud and corruption;
- External Audit and other inspection agencies;

- Relationship between External Audit, Internal Audit, inspection agencies and other relevant bodies;
- Financial Statements.

The Wales Audit Office would feed back the results of the session for consideration and inclusion in the Audit Committee Annual Report 2016/17.

The Chair thanked the Wales Audit Office representatives for leading the discussions.

63 **AUDIT COMMITTEE - ACTION TRACKER. (FOR INFORMATION)**

The Audit Committee Action Tracker was provided for information.

The Committee discussed Section 106 Agreements and Risk Management.

RESOLVED that: -

- 1) The contents of the report be noted;
- 2) The outcome relating to Councillor access to the Section 106 database be reopened due to insufficient information being available.

64 **AUDIT COMMITTEE WORK PLAN. (FOR INFORMATION)**

The Audit Committee Work Plan was reported for information.

The Committee requested that the Chief Education Officer be reminded that he was required to attend the Special Audit Committee on 28 March 2017.

Geraint Norman, Wales Audit Office (WAO) informed the Committee that the final WAO Grants Report 2015/16 would be reported to the Committee in June 2017.

The meeting ended at 3.55 pm

CHAIR